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PATENT
Attorney Docket No. NMT-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Chanduszko *et al.* CONFIRMATION NO.: 4893
SERIAL NO.: 10/660,444 GROUP NO.: 3731
FILING DATE: September 11, 2003 EXAMINER: Not yet assigned
TITLE: SEPTAL PUNCTURE DEVICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: September 1, 2004
Reg. No. 44,244

Tel. No.: (617) 248-7044
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PATENT
Attorney Docket No. NMT-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SERIAL NO.: 10/660,444 GROUP NO.: 3731
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TITLE: SEPTAL PUNCTURE DEVICE

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 1st day of September, 2004.


Lei Gu

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fourth Supplemental Information Disclosure Statement (2 pgs.);
3. Form PTO-1449 (2 pgs.);
4. Copies of SIDS Citations (B6-B11);
5. Return Receipt Postcard.



TRANSMITTAL FORM

Application Serial Number	10/660,444
Filing Date	September 11, 2003
First Named Inventor	Chanduszko
Group Art Unit	3731
Examiner Name	Not yet assigned
Attorney Docket No.	NMT-015
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal | <input type="checkbox"/> Status Inquiry |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of SIDS Citations (B6-B11) | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| | <input type="checkbox"/> CD(s) for large table or computer program | |
| | <input type="checkbox"/> Amendment After Allowance | |
| | <input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | |

CORRESPONDENCE ADDRESS

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SIGNATURE BLOCK

Respectfully submitted,

Date: September 1, 2004
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FORM PTO - 1449

FOURTH SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: NMT-015

APPLICANT(S): Chanduszko *et al.*

SERIAL NO.: 10/660,444

FILING DATE: September 11, 2003

GROUP: 3731



U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A128	UA2002/0019648	2/14/02	Akerfeldt <i>et al.</i>	606	213	4/18/01
	A129	UA2002/0026208	2/28/02	Roe <i>et al.</i>	606	190	12/7/00
	A130	UA2002/0052572	5/2/02	Franco <i>et al.</i>	604	8	9/25/01
	A131	US2002/0077555	6/20/02	Schwartz	600	486	6/8/01
	A132	UA2002/0096183	7/25/02	Stevens <i>et al.</i>	128	898	3/15/02
	A133	UA2002/0107531	8/8/02	Schreck <i>et al.</i>	606	142	2/6/01
	A134	US2003/0028213	2/6/03	Thill <i>et al.</i>	606	200	7/30/02
	A135	UA2003/0059640	3/27/03	Marton <i>et al.</i>	428	544	8/2/02
	A136	UA2003/0100920	5/29/03	Akin <i>et al.</i>	606	213	9/4/02
	A137	UA2003/0139819	7/24/03	Beer <i>et al.</i>	623	23	1/18/02
	A138	UA2003/0195530	10/16/03	Thill	606	151	4/21/03
	A139	5,334,217	8/2/94	Das	606	213	5/14/93
	A140	5,620,461	4/15/97	Muijs Van De Moer <i>et al.</i>	606	213	1/5/95
	A141	5,800,516	9/1/98	Fine <i>et al.</i>	623	1	8/8/96
	A142	5,810,884	9/22/98	Kim	606	213	5/19/97
	A143	5,853,422	12/29/98	Huebsch <i>et al.</i>	606	213	9/23/97
	A144	5,861,003	1/19/99	Latson <i>et al.</i>	606	213	10/23/96
	A145	5,928,250	7/27/99	Koike <i>et al.</i>	606	139	1/30/98
	A146	5,993,475	11/30/99	Lin <i>et al.</i>	606	213	4/22/98
	A147	6,077,291	6/20/00	Das	606	213	11/26/96
	A148	6,113,609	9/5/00	Adams	606	139	5/26/98
	A149	6,270,515	8/7/01	Koike <i>et al.</i>	606	213	2/26/97
	A150	6,387,104	5/14/02	Pugsley, Jr. <i>et al.</i>	606	139	11/12/99
	A151	6,402,772	6/11/02	Amplatz <i>et al.</i>	606	200	10/17/01

EXAMINER

DATE CONSIDERED

FORM PTO - 1449 FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: NMT-015 APPLICANT(S): Chanduszeko <i>et al.</i> SERIAL NO.: 10/660,444 FILING DATE: September 11, 2003 GROUP: 3731				
U.S. PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A152	6,482,224	11/19/02	Michler <i>et al.</i>	606	219	2/26/98	
	A153	6,494,888	12/17/02	Laufer <i>et al.</i>	606	153	5/19/00	
	A154	6,551,344	4/22/03	Thill	606	213	1/12/01	
	A155	6,596,013	7/22/03	Yang <i>et al.</i>	606	215	9/20/01	
	A156	6,623,508	9/23/03	Shaw <i>et al.</i>	606	213	1/5/01	
FOREIGN PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B6	WO 9807375	2/26/98	PCT		8/22/97	N	Y
	B7	WO 9918862	4/22/99	PCT		10/9/98	N	Y
	B8	WO 9918864	4/22/99	PCT		10/9/98	N	Y
	B9	WO 9918870	4/22/99	PCT		10/9/98	N	Y
	B10	WO 9918871	4/22/99	PCT		10/9/98	N	Y
	B11	EP 1222897	7/17/02	EP		1/11/02	N	Y
OTHER ART, JOURNAL ARTICLES, ETC.								
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)							
EXAMINER					DATE CONSIDERED			